



City Auditor's Office

Quarterly Report to Finance/Executive Committee
October 12, 2022

FY23 Summary

4 Audit Reports Released

8 Audits in Progress

Audit Committee approved FY23 audit plan

Audit Follow-up

30 Closed

29 Implemented and closed (97%)

<https://dashboard.missionmark.com/ae075fd7-a40b-489c-a67a-6e1ec4566694/>

Continuous Audit

49

Advanced Access Control Conflict Pairs

13

Sensitive Access

25

Financial and HR Transactions

3

OTBI Reports

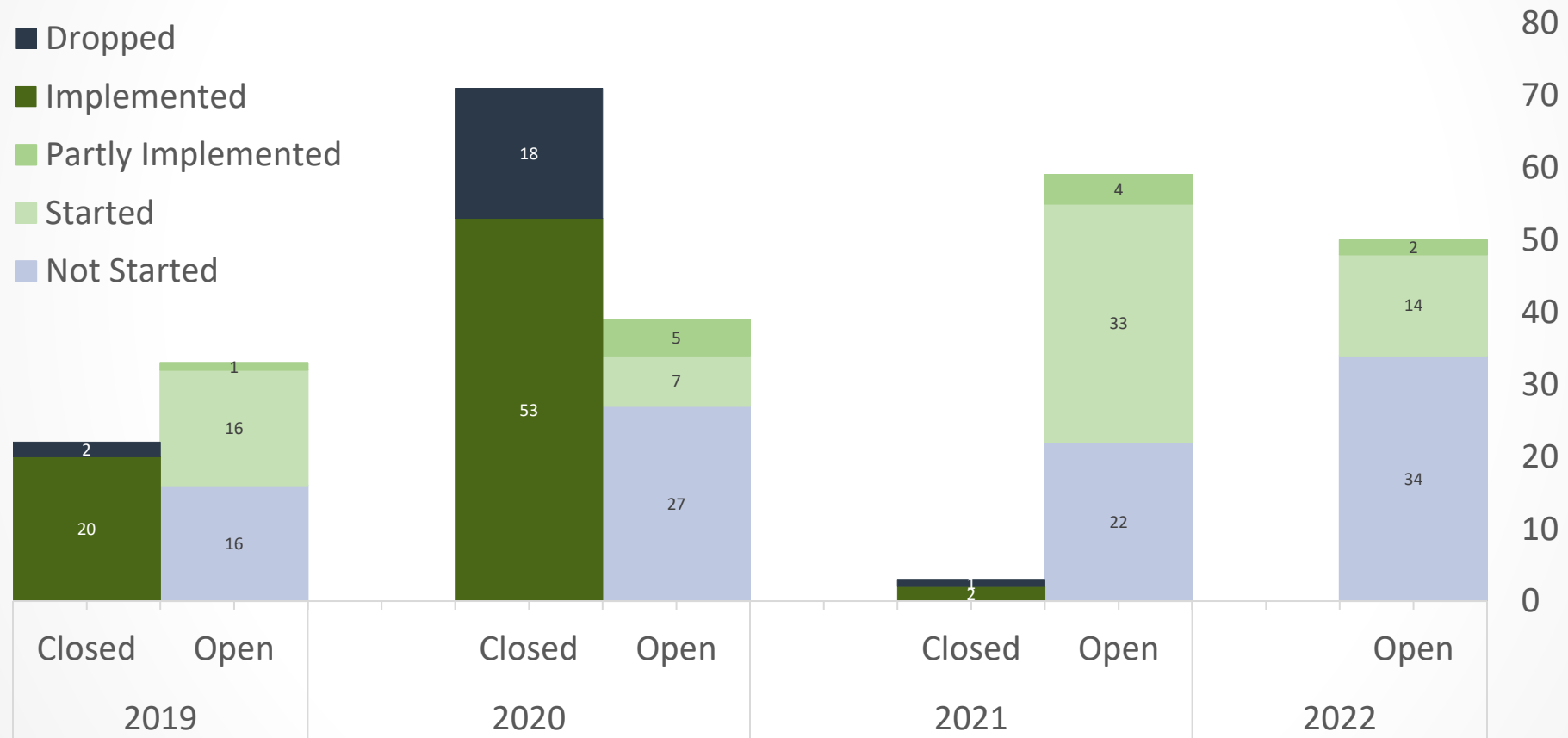
Audits Completed

- BeltLine Special Services District Financial Review
 - Management agreed with 4 recommendations to clarify roles, strengthen procedures, and develop a reporting framework
- Citywide Cash Handling
 - Management agreed with 9 recommendations and partially agreed with 1 recommendation to strengthen centralized oversight of cash handling, improve inadequate policies and procedures, and mitigate risks
- Employee Benefits
 - Management agreed with 12 recommendations to improve accuracy and more effectively administer benefit programs
- AFRD Financial Transaction Review
 - Management agreed with 4 recommendations to develop written policies and procedures and segregate incompatible duties

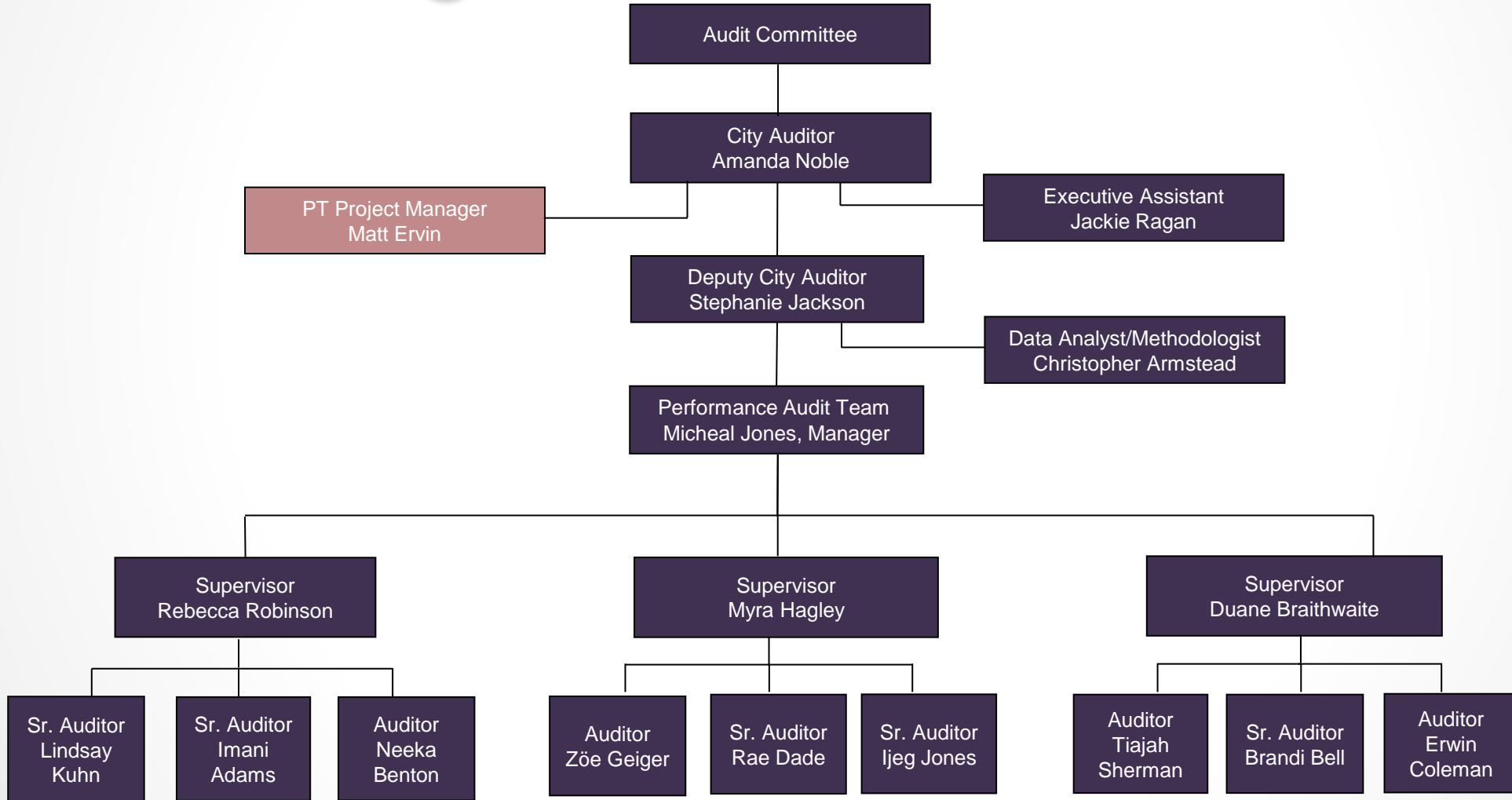
Audits In Progress

Audit	Status
Oracle Time and Labor	Interim Report to Mgt; Ongoing review
In Rem Process	Technical Review
Solid Waste Services	Fieldwork
Municipal Market Lease	Fieldwork
Plane Train Contract	Fieldwork
Office of Contract Compliance	Fieldwork
Grants Management	Fieldwork
Business Licensing	Fieldwork

Audit Recommendation Follow-Up



Organizational Chart



Questions?

